

OFFICIAL

PATENT APPLICATION

Docket: 15436.249.1.2.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**RECEIVED
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In re application of:

Ping Xie

Serial No.:

09/944,593

Filed:

August 31, 2001

Confirmation No.:

1721

For:

COMPACT POLARIZATION INSENSITIVE
CIRCULATORS WITH SIMPLIFIED
STRUCTURE AND LOW POLARIZATION
MODE DISPERSION

Examiner:

Lee A. Fineman

APR 06 2004

) Art Unit
) 2811**CERTIFICATE OF TRANSMISSION BY FACSIMILE**

I hereby certify that the following documents are being facsimile transmitted to United States Patent and Trademark Office at Fax No. 703.872.9306, on the 6th day of April 2004.

- ⊙ Transmittal Letter (3 pages)
- Amendment and Response (17 pages)
- Petition for an Extension of Time (2 pages)
- Form PTO-2038 submitting Credit Card Payment in the amount of \$530.00
- Terminal Disclaimer (2 pages)

Respectfully submitted,

CARL T. REED
Attorney for Applicant
Registration No. 45,454
Customer No. 022913**BEST AVAILABLE COPY**CTR:dfw
DFW0000010017V001APR - 7 - 2004
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 MODE DISPERSION

Examiner: Lee A. Fincman

Mail Stop AMENDMENT – NO FEE
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

TRANSMITTAL FOR AMENDMENT AND RESPONSE TO OFFICE ACTION
DATED NOVEMBER 6, 2003

Sir:

Transmitted herewith is an Amendment and Response for entry in the above-identified application.

Small entity status of this application under 37 C.F.R. § 1.9 and § 1.27 has been established by a verified statement previously submitted.

To secure the approval of the Examiner for proposed amendments to the drawings, enclosed are the following:

April 6, 2004

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- ____ Letter to the Official Draftsperson;
- ____ Set of _____ () sheets of drawings containing Figures _____ including the proposed amendments therein; and
- ____ A duplicate set of the _____ () sheets of drawings with the changes therein highlighted in red.
- X To render the transmitted Amendment and Response timely filed enclosed is a Petition for a Two-Month Extension of Time.
- X Terminal Disclaimer.
- X A Certificate of Facsimile.
- X No additional fee is required.

The fee has been calculated as follows:

| | | | SMALL ENTITY | | LARGE ENTITY | |
|--|---------------------------------|---------------|--------------|-------------|--------------|-------------|
| CLAIMS REMAINING AFTER | HIGHEST NO. PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE | ADD'T'L FEE | RATE | ADD'T'L FEE |
| TOTAL 22 | MINUS 22 | = 0 | X | | X | |
| INDEPENDENT 5 | MINUS 5 | = 0 | X | | X | |
| 1 st PRESENTATION OF MULTIPLE DEPENDENT CLAIM | | | + _____ | | + _____ | |
| | | | TOTAL | | TOTAL | |

- X Form PTO-2038 submitting Credit Card Payment in the amount of \$530.00 is enclosed for the following:
- X The \$420.00 fee for an extension of time; and
- X The \$110.00 fee for a terminal disclaimer.
- ____ Please charge my Deposit Account No. 23-3178 in the amount of _____.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178.

April 6, 2004
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- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ A duplicate copy of this transmittal letter is attached.

Dated this 6th day of April 2004.

Respectfully submitted,



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Registration No. 45,454
Customer No. 022913

CTR:dfw
DFW0000010017V001